

A Synopsis on Science

A Journey through 13.7 billion years of “Matter”

from The Big Bang to a Green Earth

Lectures 4~6

The Properties of Matter

Tokyo University Institute for Solid State Physics

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A Synopsis on Science

=> Towards a “Combination of The Arts and Sciences”

- (Fortunately or unfortunately) Those who have been accepted into Tokyo University, (whether they like it or not) are expected to be active participants at the center (although not limited to the center) of future society.
- Therefore, you are expected to acquire both specialized knowledge and a broad education.
- This erudition will also be a key to enriching your own lives.
- (A little voice says “What about myself?”)
- ⇒ Being a teacher is an occupation where you make demands of students while remaining blind to your own shortcomings.

The Utility of Learning Physics

- The value in people learning physics, who are not majoring in physics, is that it “**develops common sense**”. For example, common sense can skeptically discern talk of questionable paranormal phenomenon that contradicts the principles of physics. Principles such as the Law of Conservation of Energy, the Law of Increasing Entropy, and the Principle of Causality.
- On the other hand, to people who major in physics, one of the best things about physics is the “**joy of defying common sense**”.
- In these lectures, I want to convey the fundamental way of thinking in Material Science and Solid-State Physics, as well as a few surprises!

Lecture Plan

Lectures 1-3: Yasushi Suto “A Physics View of The World”

Lectures 4-6: Yasuhiro Iye “The Properties of Matter”

Lecture 4: Modern Society and Material Science

What does the discipline of Solid-State Physics do?

Lecture 5: From an Atom to a Solid Object

Diverse Matter, Varied Physicality

Lecture 6: Manipulate an Atom, Manipulate a Quantum

High-Tech and Nano-Science

Lectures 7-9: Masakatsu Shibasaki “The Origins of Matter”

Lecture 10: Akira Fujishima “Special Lecture – Scientific Gifts

From Heaven”

Lectures 11-13: Hiroshi Komiyama “The Utility of Matter”

Today's Talk

Modern Society and Material Science
What does the discipline of Solid-
State Physics do?

Scale

Modern Society and Material Science

Solid-State Physics within Physics

Quantum Mechanics and Atomic Structure

Textbook

(a little advertisement)

50th Anniversary of The Institute for Solid-State
Physics Memorial Edition

“Solid-State Science in the 21st Century”

The Institute for Solid-State Physics Press



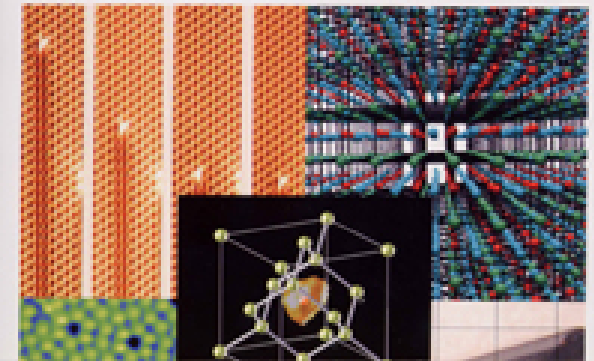
The Institute for Solid-State Physics
at Kashiwa Campus



21世紀の物質科学

【最先端がわかる】

東京大学物性研究所編
塩原龍



13人の科学者が語る物質科学の最前線

“針の先で1個の原子をつり上げる”、
“電気を運ばない電子の流れ”…

21世紀初頭にかけて次々登場した新技術や新物質。
その無限の可能性を秘めた「物質科学」のホットな
世界へ読者を誘う。

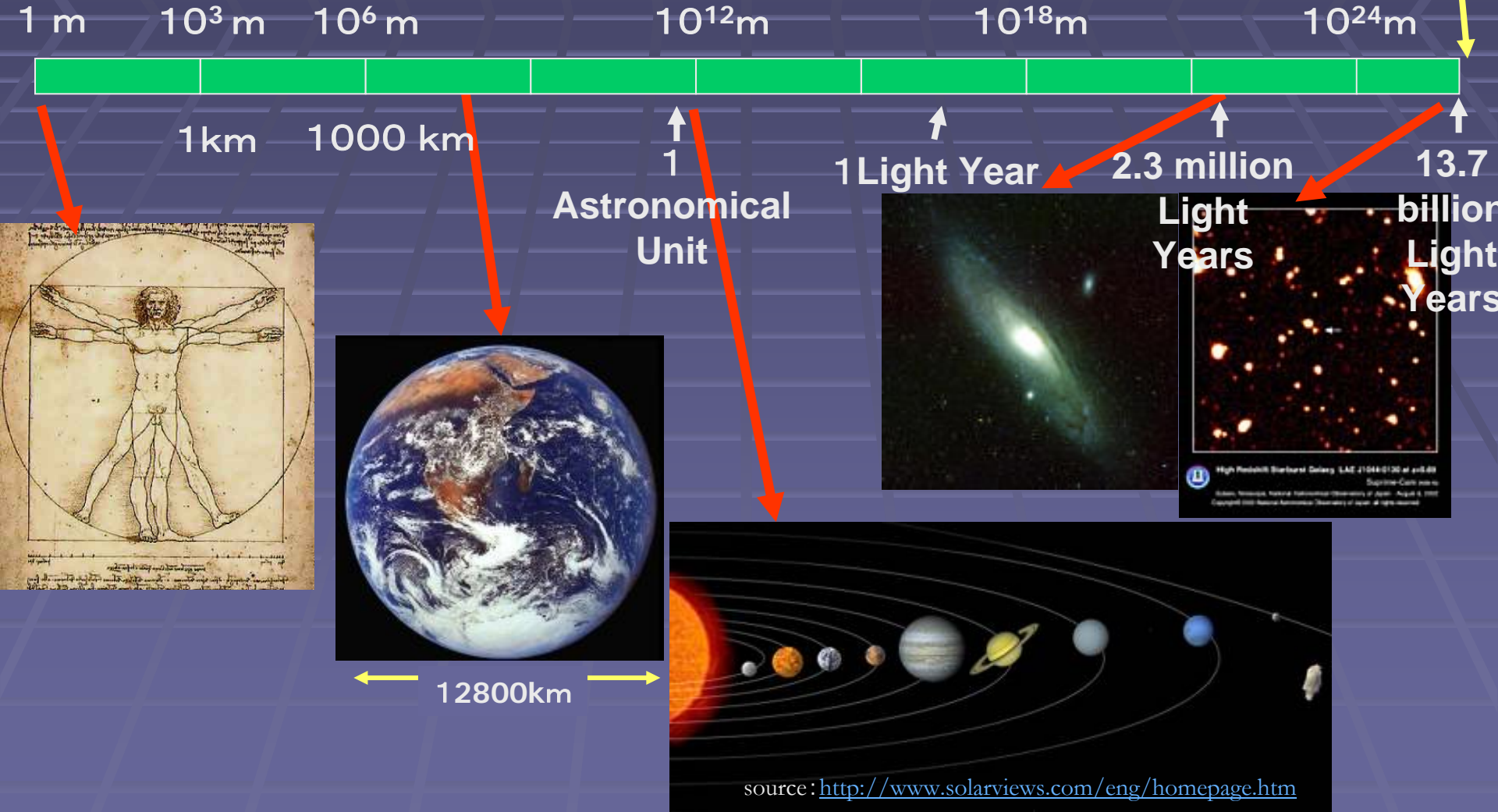
塩原龍

Baifukan Publishing (¥ 2000 + Tax)

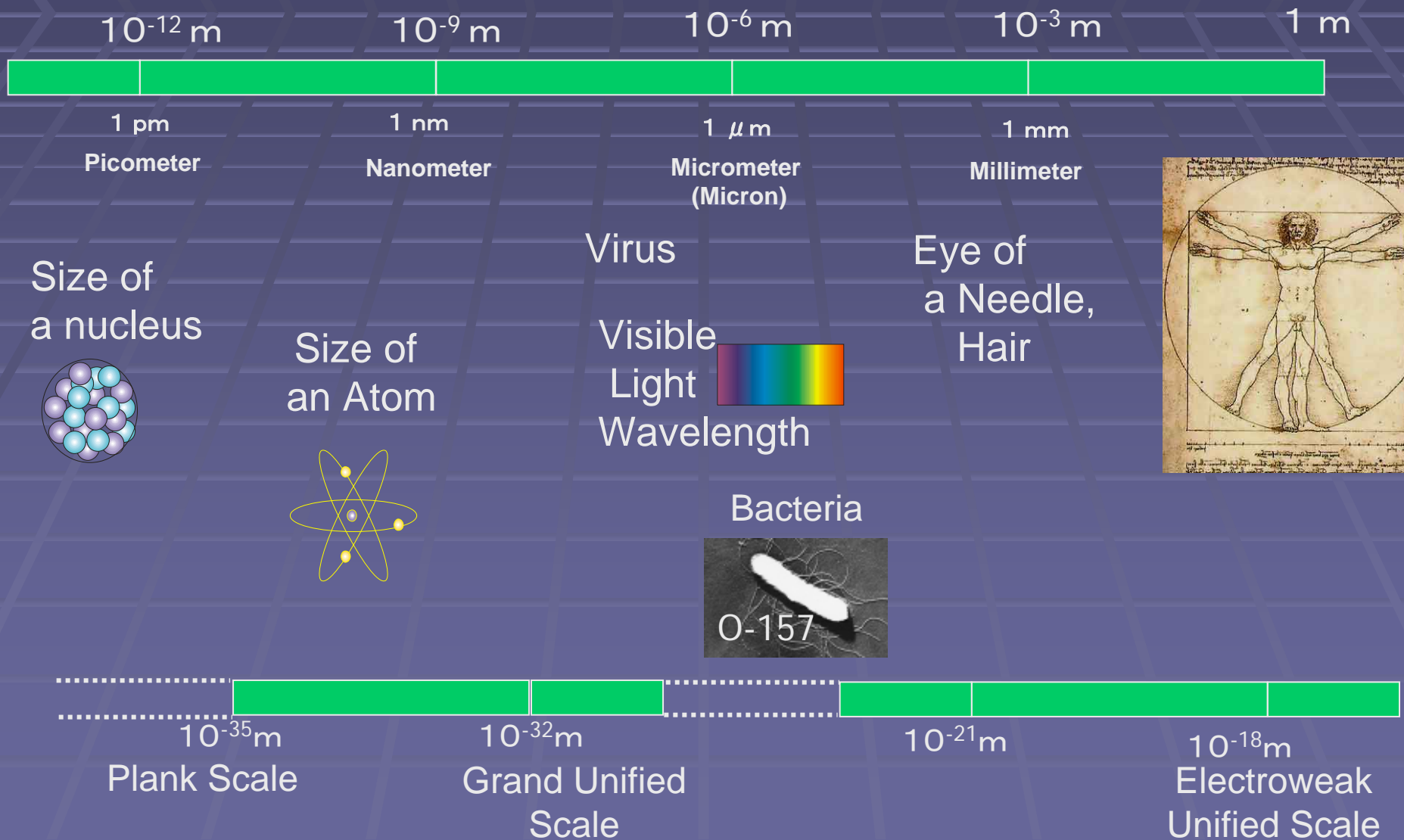
Scale

The Large Scale

The Edge of the Universe



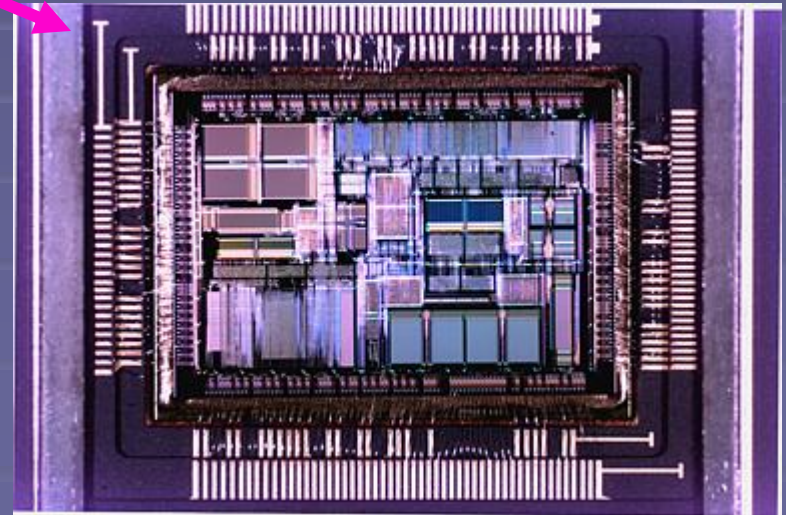
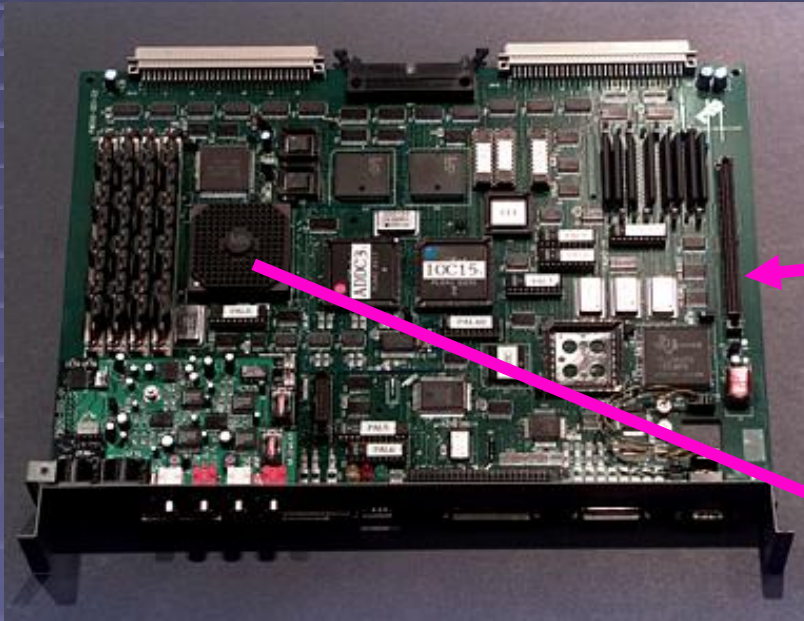
The Small Scale



Modern Society and Physics

Computers

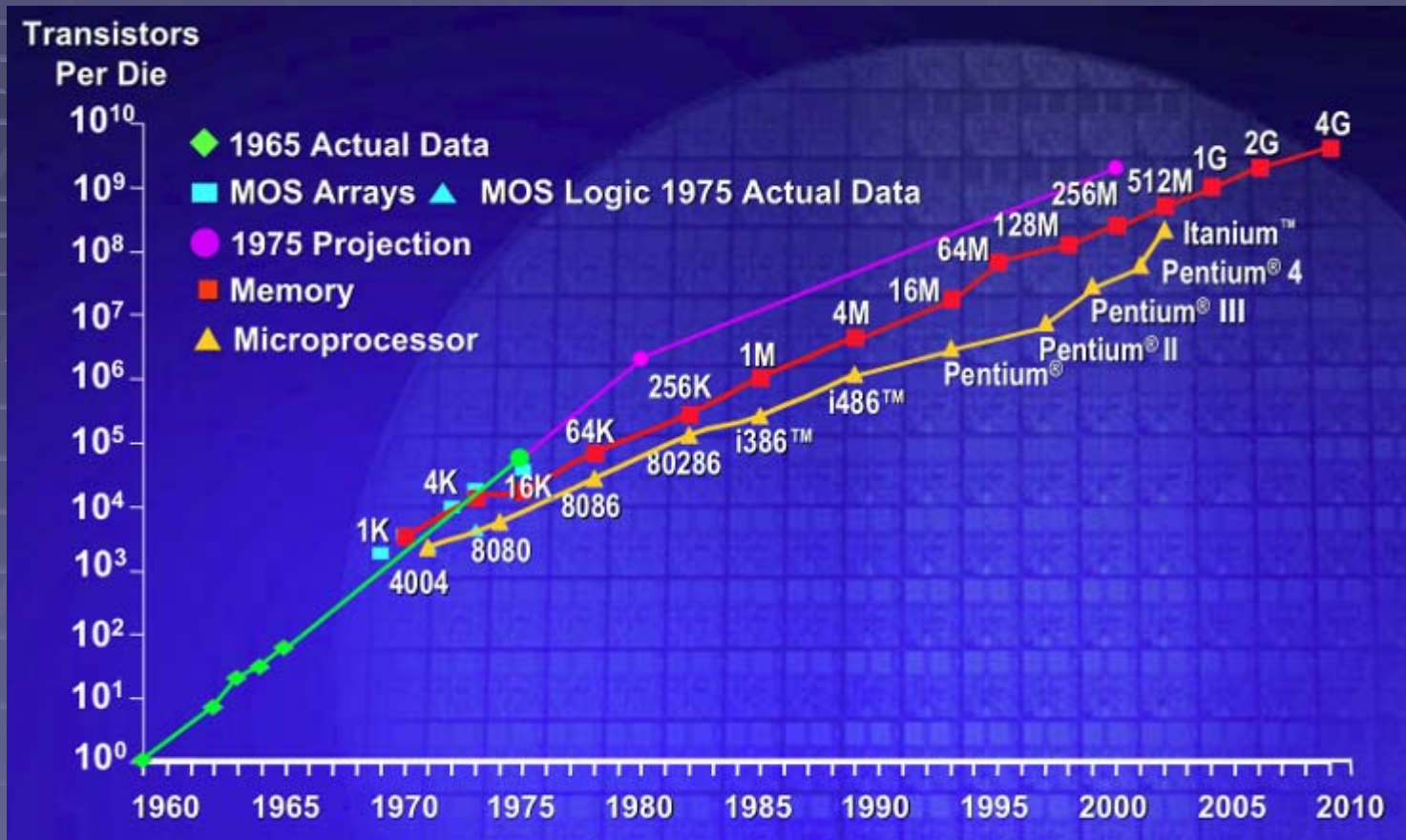
Personal Computer



The behavior of electrons
within superconductors
Solid-State
Physics based on Quantum
Mechanics

Moore's Law

“Integrated Circuit Complexity”



Gordon E. Moore “NO EXPONENTIAL IS FOREVER...”

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The degree of integration of LSI (Large Scale Integration), in other words, the number of transistors that can fit within a unit area will double approx. every one-and-a-half years.

Memory Devices

Magnetic Hard Disk CD-ROM/DVD

Superconductor
Memory

Flash Memory

Ferroelectric Memory



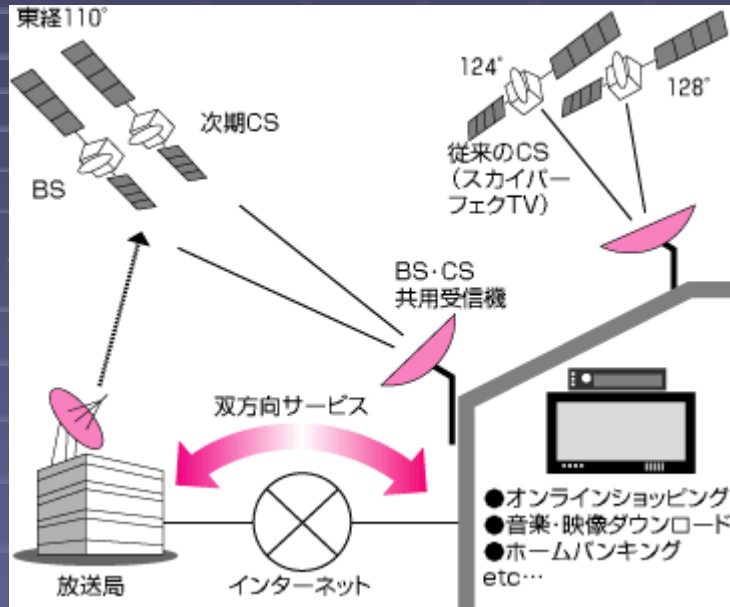
Digital information is recorded by magnetization of a magnetic substance

Discrepancies in reflection of a laser striking the uneven recording surface of a disk

Radio (High-Frequency), Fiber-Optics



Mobile
Phone



Satellite Communications
Satellite Broadcasting



Optic Fiber



High Electron
Mobility Transistor
(HEMT)

Light Emitting Diode(LED)
Semiconductor Laser

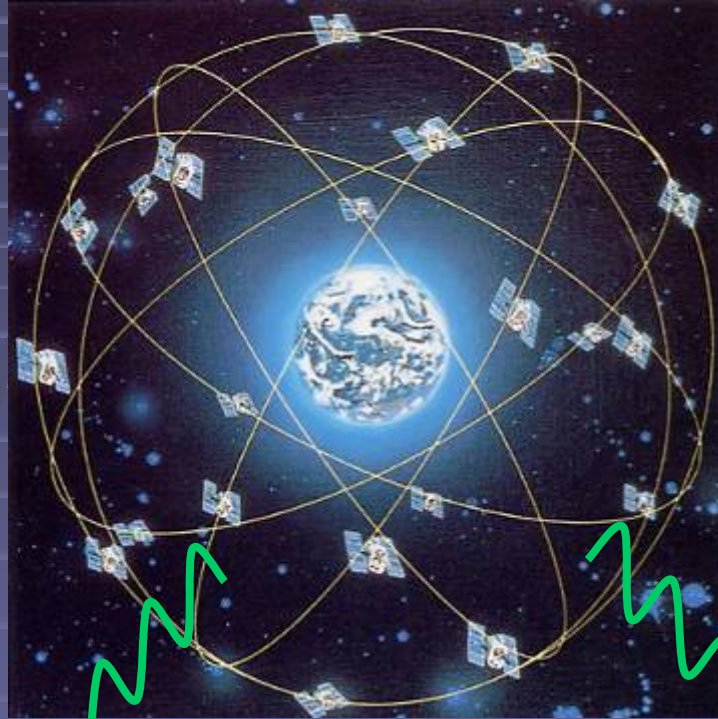
GPS (Global Positioning System) Navigation

24 satellites are stationed in orbit.

Plot positions by “Triangulation”

Accuracy in timing is essential.

Satellites have an atomic clock on board



For **GPS** to function, a correction of Special Relativity and General Relativity is required.

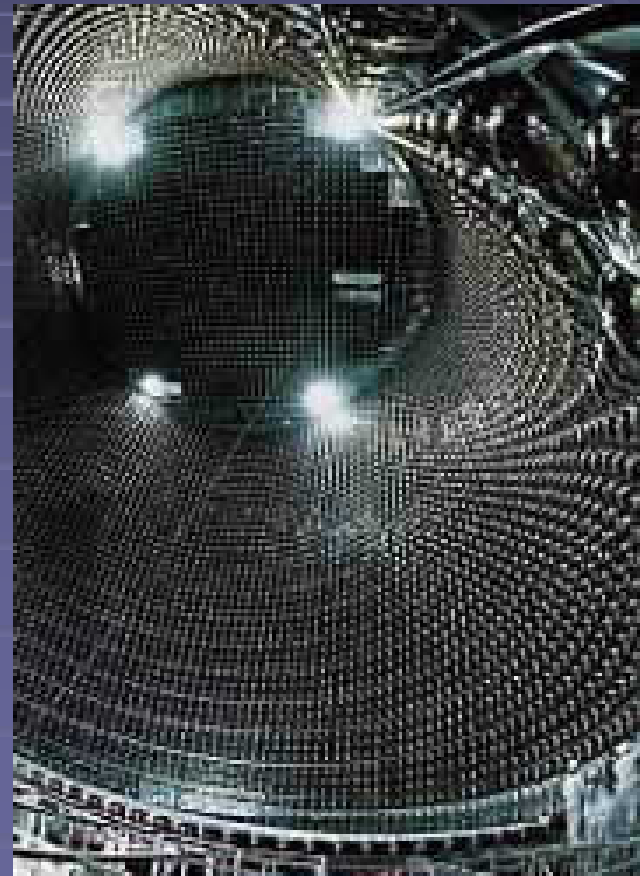


Also in Elementary Particle Physics and Space Research

Subaru Telescope
CCD camera



Super-Kamiokande
Photomultiplier

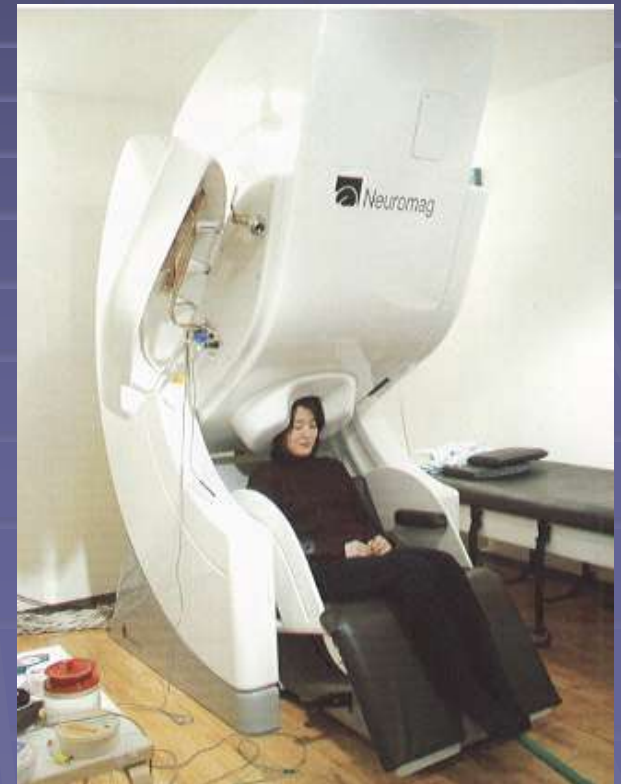


Also in State-of-the-art Medicine

Magnetic Resonance
Imaging (MRI)



Magnetoencephalograph (MEG)
Detection of weak magnetic signals by
using a Superconducting Quantum
Interference Device (SQUID)



Also in Familiar Places

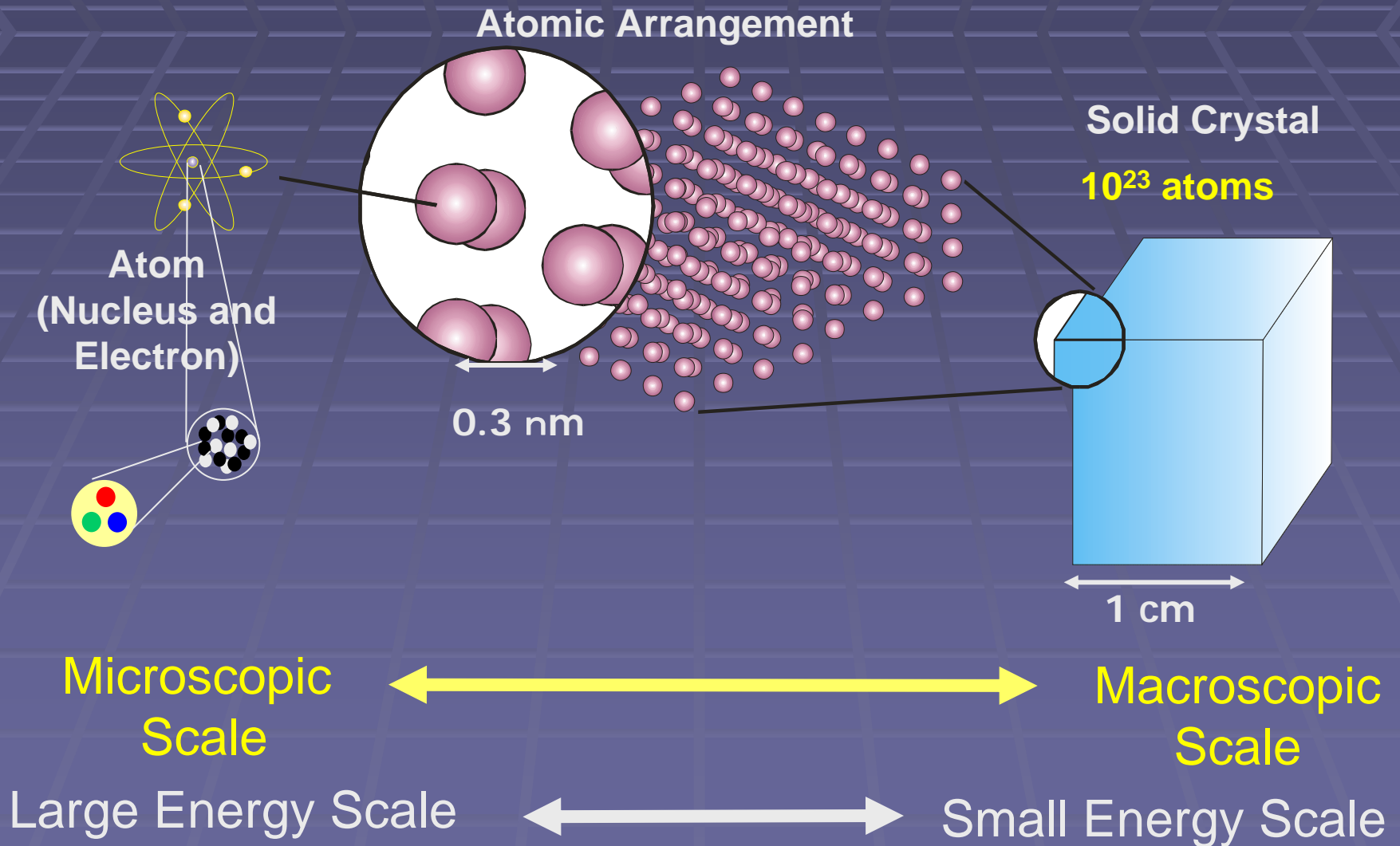
- Liquid Crystal (Display)
- High-Strength Fiber (Tennis Racquet)
- Polymer Gel (Disposable Diaper)
- Shape-Memory Alloy
- Fuel Cell
- Photocatalyst => **Prof Fujishima's Lecture**
- Solar Power => **Prof Komiyama's Lecture**
-

Solid-State Physics within Physics

A Physics Mind

- **A Physics (Pursuit of Truth) Mind** – The intention to pursue a **logical understanding of the foundations of the universe**
- Essential Questions of **Elementary Particle Physics and Astrophysics**
 - What are the fundamental elements of the universe?
 - How did the universe begin? How will it end?
- **Solid-State Physics (Condensed-Matter Physics)**
To explain the properties displayed by various matter
 - Diversity and complexity is essential
 - But not by studying natural history, rather by seeking universality and unity

The hierarchical Structure of the Material World



A Physics View of the World

Reductionism

You will understand the behavior of a certain level's system by reducing it to the laws of a more basic system. What are the ultimate building blocks and forces? => Particle Physics

But it certainly doesn't mean that if we understand the ultimate building blocks and forces we will understand everything

Each level of the natural world has its own laws of physics

Emergence

Groups of "units" interacting with each other reveal qualitative new behavior that can't be predicted from the properties of the "units"

Behavior of a Multi-Body System: Phase Transition

eg Super-conduction, Life Phenomena

More is different. (P.W.Anderson)

The Significance of Solid-State Physics

An intellectual appetite that wants to understand the property of matter based on the fundamental ideas of physics

⇒ **Structure of a Matter-based Perspective**

Understanding and using The Physical. Pioneering and mastering useful capabilities

⇒ **Closely relating to engineering**

**Curiosity-Driven Research and
Mission-Oriented Research**

But there are no boundaries between these, rather they coexist in a certain ratio within the consciousness of each individual researcher

The Significance of Solid-State Physics

“Playing catch” with the concepts of Solid-State Physics, Elementary Particle Physics/ Nuclear Physics, and Astrophysics

Phase Transition: Spontaneous Symmetry Breaking
Nambu-Goldstone Mode \Leftrightarrow Higgs Mechanism

Asymptotic Freedom:

Quark Confinement \Leftrightarrow Kondo Effect

Topological Excitation, Quantum Phase

.....

The Work of Research into The Physical

- The work of understanding the diverse properties (The Physical) of a variety of Matter based on **the fundamental ideas of physics**
 - Even though varied it's not "Natural History". Pursuit of Universality and Unifying Principles
- Characteristics
 - Can conduct experiments (\Leftrightarrow Astrophysics, Geophysics)
 - Small Science (\Leftrightarrow Big Science)
 - Seamless connection of Chemistry and Applied Physics, and eventually the Life Sciences as well?
- Whether there is "Understanding" or not
 - Comparing theory with experimentation: the cycle of models and verification
 - The rise of computational physics

The Objects of Material Physics

Solid-State (Monocrystal, Polycrystal)

Disordered Crystals (Impurities,
Deficiencies...)

Amorphous, Glass, Paracrystals

Fluids, Quantum Liquids

Microparticles, Cluster

Surface, Interface

Artificial Crystals (Super Lattice),

Nanostructure

Soft Matter (Macromolecules, Liquid Crystals,
Gels)

Atomic Gas Laser (Bose condensate)

Properties of Matter

- **Structural Properties**

- Crystalline Structure (Solid, Liquid, Glass,)
- Composition

- **Mechanical Properties**

- Steel is hard, Gold is malleable
- Glass is hard but brittle
- Diamond is hard, Graphite is hard but easy to cleave (flaky)

- **Thermal Properties**

- Copper conducts heat well, stainless steel poorly

Properties of Matter

- **Electrical Properties**

- Conduction (Metal, Insulator, Semi-conductor)
- Ferro electricity
- Super-conduction

- **Magnetic Properties**

- Ferro magnetism (Why is iron magnetic?)

- **Optical Properties**

- Color of jewels, Metallic luster ,
- Luminescence (Light-emitting diode, semiconductor laser)

Matter and the Physical Environment

The Physical: Reaction to an outside stimulus
Behavior that changes according to the environment that the Matter is in

- Temperature
- Pressure, Stress
- Electrical field
- Magnetic field
- Interaction with Light (Electromagnetic waves)
- Sample size

Quantum Mechanics and the Structure of Atoms






The Periodic Table of Elements

The Periodic Table of Elements

	1A	2A	3A	4A	5A	6A	7A	8	1B	2B	3B	4B	5B	6B	7B	0		
1	¹ H															² He		
2	³ Li	⁴ Be								⁵ B	⁶ C	⁷ N	⁸ O	⁹ F	¹⁰ Ne			
3	¹¹ Na	¹² Mg								¹³ Al	¹⁴ Si	¹⁵ P	¹⁶ S	¹⁷ Cl	¹⁸ Ar			
4	¹⁹ K	²⁰ Ca	²¹ Sc	²² Ti	²³ V	²⁴ Cr	²⁵ Mn	²⁶ Fe	²⁷ Co	²⁸ Ni	²⁹ Cu	³⁰ Zn	³¹ Ga	³² Ge	³³ As	³⁴ Se	³⁵ Br	³⁶ Kr

Why did the Periodic Law come about?

6	⁵⁵ Cs	⁵⁶ Ba	⁵⁷ L	⁷² Hf	⁷³ Ta	⁷⁴ W	⁷⁵ Re	⁷⁶ Os	⁷⁷ Ir	⁷⁸ Pt	⁷⁹ Au	⁸⁰ Hg	⁸¹ Tl	⁸² Pb	⁸³ Bi	⁸⁴ Po	⁸⁵ At	⁸⁶ Rn
7	⁸⁷ Fr	⁸⁸ Ra	⁸⁹ A															
	⁵⁷ L	⁵⁸ La	⁵⁹ Ce	⁶⁰ Pr	⁶¹ Nd	⁶² Pm	⁶³ Sm	⁶⁴ Eu	⁶⁵ Gd	⁶⁶ Tb	⁶⁷ Dy	⁶⁸ Ho	⁶⁹ Er	⁷⁰ Tm	⁷¹ Yb	⁷² Lu		
	⁸⁹ A	⁹⁰ Ac	⁹¹ Th	⁹² Pa	⁹³ U	⁹⁴ Np	⁹⁵ Pu	⁹⁶ Am	⁹⁷ Cm	⁹⁸ Bk	⁹⁹ Cf	¹⁰⁰ Es	¹⁰¹ Fm	¹⁰² Md	¹⁰³ No	¹⁰⁴ Lr		

-  Metallic Elements
-  Semi-metallic Elements
-  Non-metallic Elements
-  Transitional Elements
-  Noble Gases

The Players in Solid-State Physics

The Players (The “Basic” Particles)

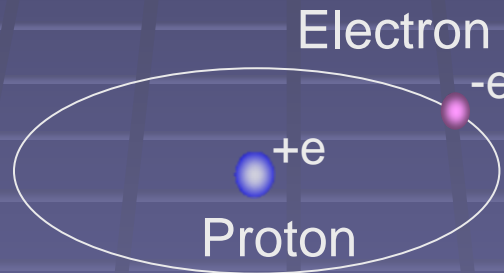
Electron

$$e = 1.60 \times 10^{-19} \text{ C}$$

Nucleus (Proton + Neutron)

$$m_e = 0.91 \times 10^{-30} \text{ kg}$$

Hydrogen
atom



$$m_p \approx m_n \approx 1840 m_e$$

The energy that's at work between the “Basic”
Particles: Electromagnetic Interaction

$$h\nu$$

$$h = 6.62 \times 10^{-34} \text{ J} \cdot \text{s}$$

Light (Electromagnetic Waves)

Photon

Energy Scale

The Unit of Energy :

Joule

$$J = \text{kg m}^2/\text{s}^2$$

Mass x Length²/Time²

Kinetic Energy

$$\frac{1}{2}mv^2$$

Electron Volt

The energy gained by an electron when it accelerates through an electrostatic potential of one volt

$$e = 1.6 \times 10^{-19} \text{ C}$$

$$1 \text{ eV} = 1.6 \times 10^{-19} \text{ J}$$

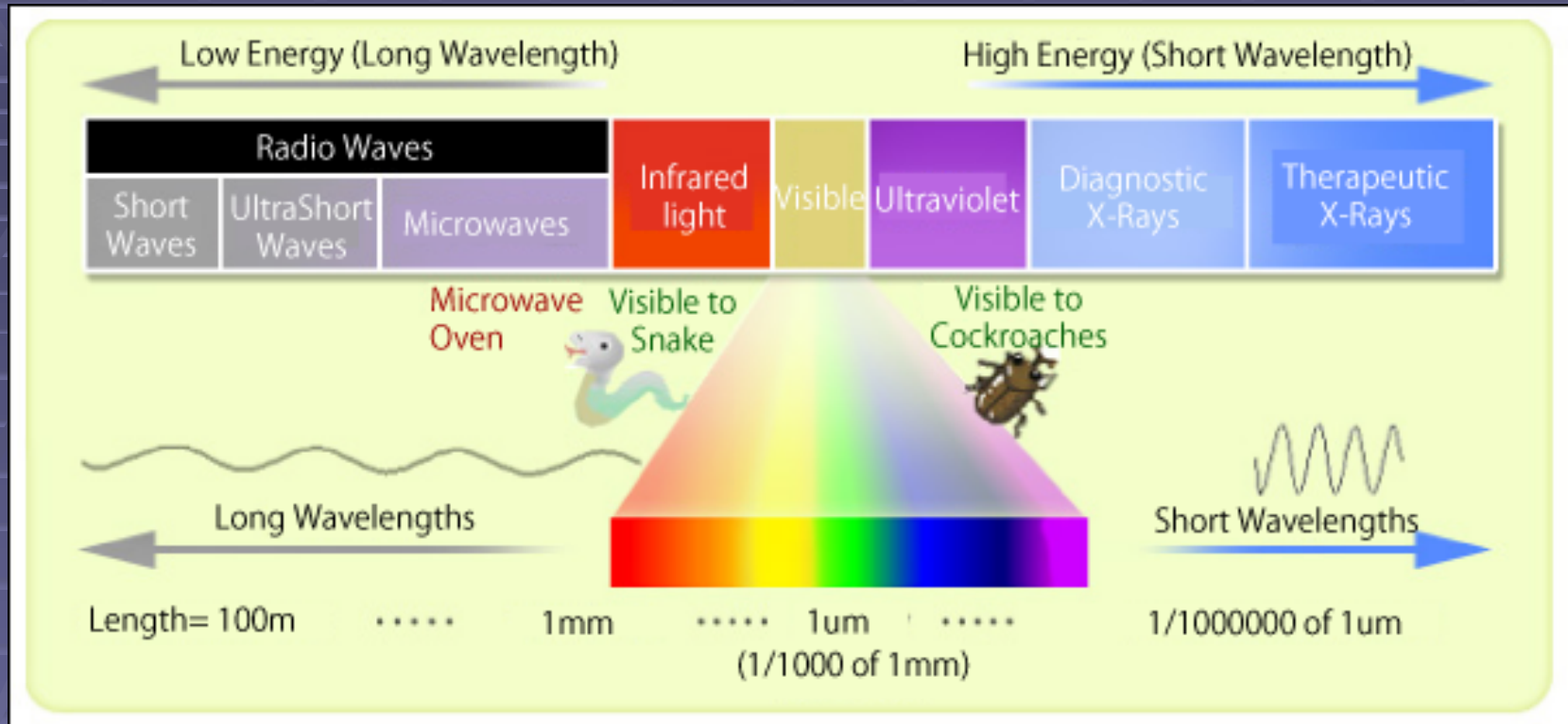
Planck's
Constant

$$h = 6.62 \times 10^{-34} \text{ J} \cdot \text{s}$$

$$= 4.13 \times 10^{-15} \text{ eV} \cdot \text{s}$$

$$\hbar = \frac{h}{2\pi}$$

Light (Electromagnetic Waves)



From the Radiation Effects Research Foundation
<http://www.rerf.or.jp/general/whatis/index.html> 2009/3

Wavelength, Frequency and Wave number of Light that has the Energy of 1eV

Quantum of Light : Photon

$$h\nu = 1\text{eV} \leftrightarrow \nu = 2.42 \times 10^{14} \text{ Hz}$$

$$\leftrightarrow \frac{\nu}{c} = 8070 \text{ cm}^{-1} \leftrightarrow \lambda = 1240 \text{ nm}$$

Quantum Mechanics

“Quantum Mechanical Particles such as Electrons etc are Particles and Waves”

de Broglie wavelength λ

Relationship between Wavelength, Wave number and Momentum

$$p = \hbar k = \hbar \frac{2\pi}{\lambda}$$

The Wave function $\psi(x, y, z)$ that represents this state follows the Schroedinger Equation

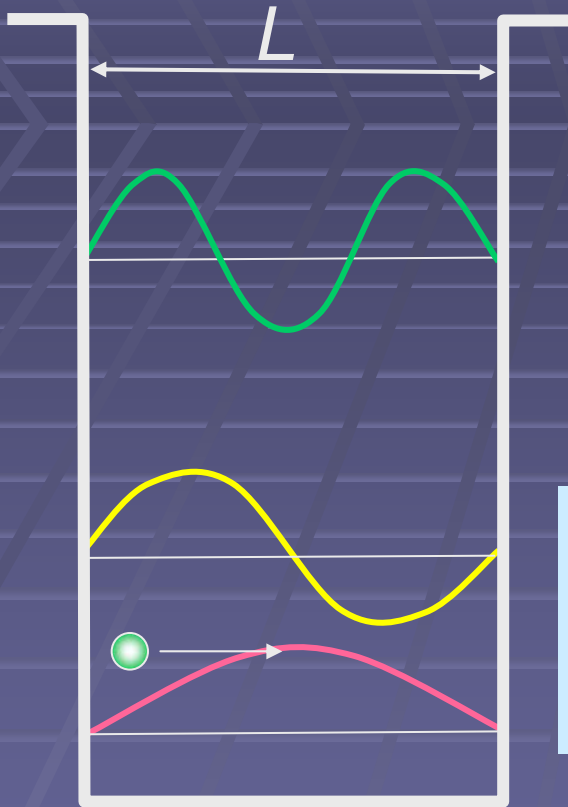
$$\left(-\frac{\hbar^2}{2m} \nabla^2 + V(r) \right) \psi(x, y, z) = E \psi(x, y, z)$$

$$\nabla^2 \equiv \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$

The Existing Probability of a Particle

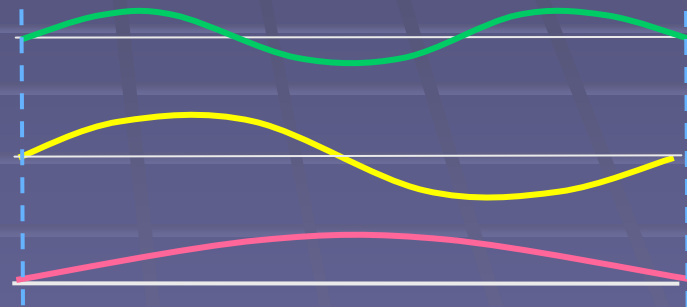
$$|\psi(x, y, z)|^2$$

The Quantization of Energy



$$\lambda = \frac{2L}{n}$$

$(n = 1, 2, 3, \dots)$



Electron inside a box

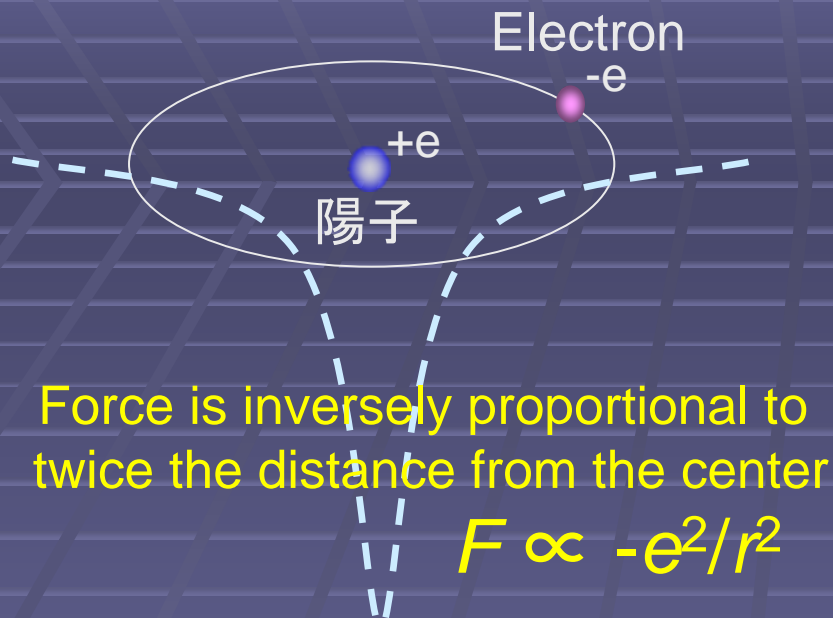
⇒ Standing Wave : Discrete Energy Level

$$p = \hbar \frac{2\pi}{\lambda} = \hbar \frac{2\pi}{2L} n$$



$$E = \frac{p^2}{2m} = \frac{\hbar^2}{2m} \left(\frac{\pi}{L} n \right)^2 \quad (n = 1, 2, 3, \dots)$$

The Hydrogen Atom

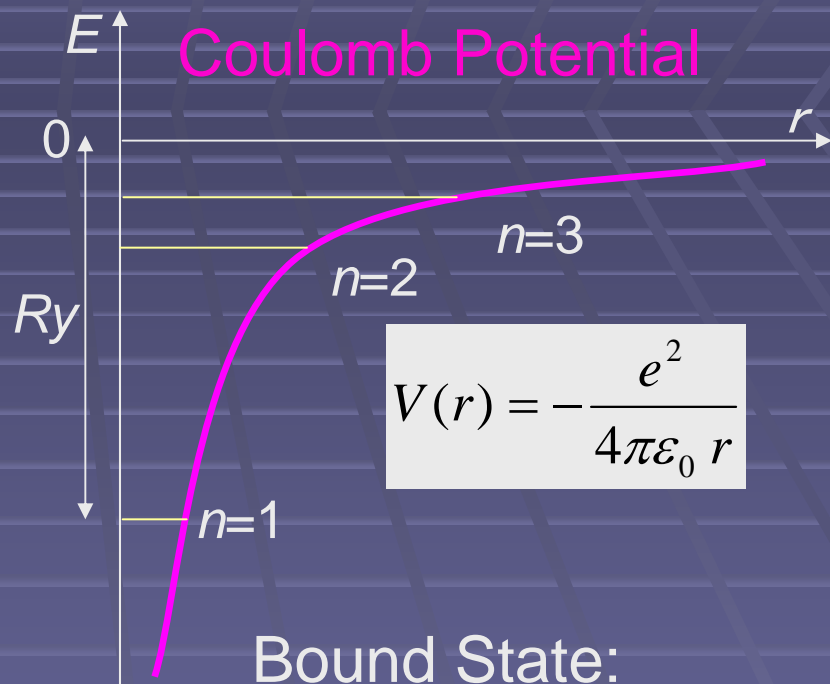


Bohr Radius

$$a_0 = \frac{4\pi\epsilon_0\hbar^2}{me^2} = 0.053\text{nm}$$

Rydberg Constant

$$Ry = \left(\frac{1}{4\pi\epsilon_0}\right)^2 \frac{me^4}{2\hbar^2} = 13.6\text{eV}$$



Bound State:
Discrete Energy Level

$$E_n = -\frac{1}{n^2} Ry$$

$$\langle r \rangle_n = n^2 a_0$$

Energy Levels of the Hydrogen Atom

Direction of the Radius (distance from the center) Vector

⇒ Discrete Energy Level

Circular motion around the center

⇒ Quantization of Angular Momentum

Angular Momentum

Quantum Number

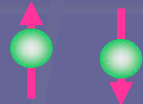
$$l = 0, 1, 2, \dots$$

$$m = -l, \dots, l-1, l \quad (2l+1)$$

First Quantum Number

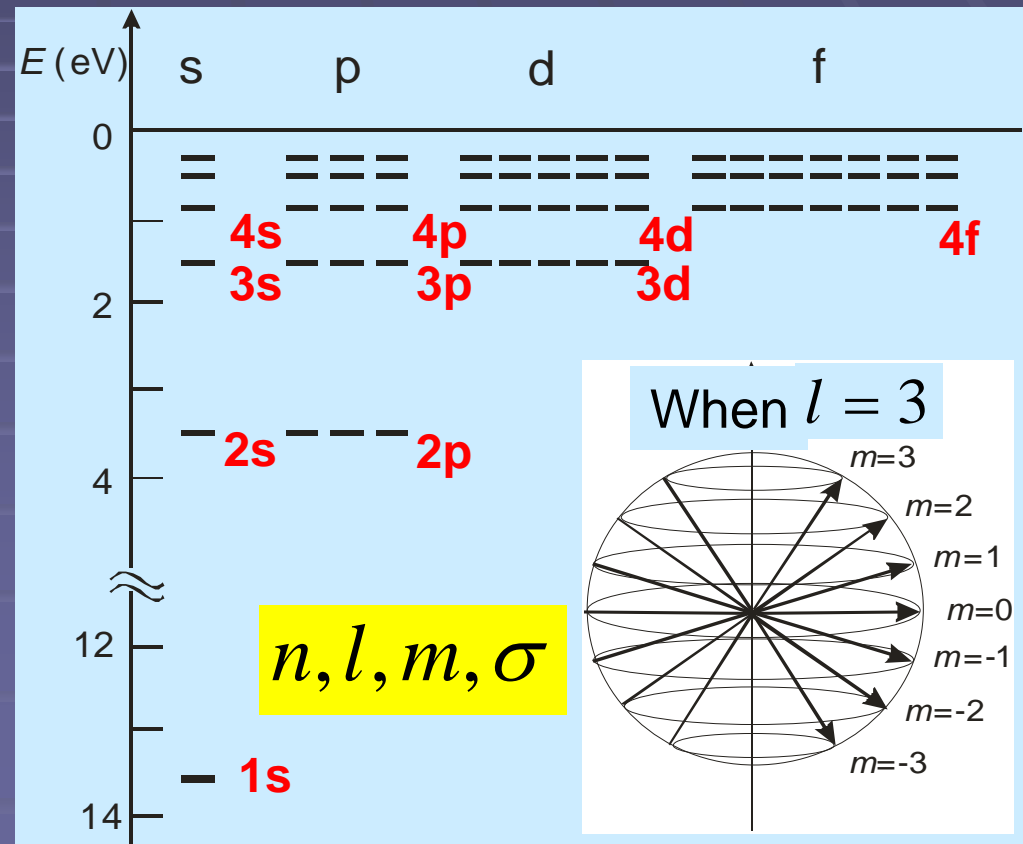
$$n = l, l+1, \dots$$

The Degree of Freedom of Spin possessed by an Electron (but only upwards or downwards)



Spin Quantum Number

$$\sigma = \pm 1$$



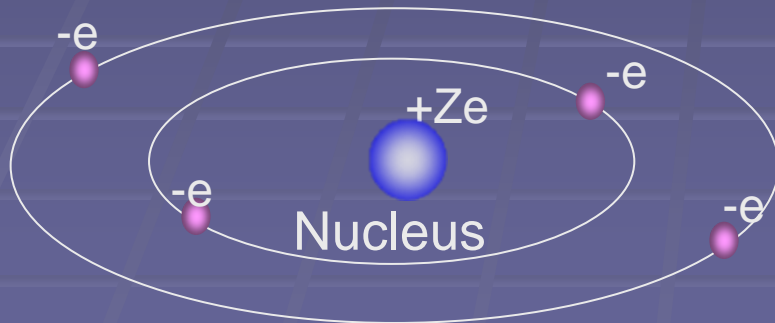
Electron Energy Levels of the Atom

Multi-electron atom:

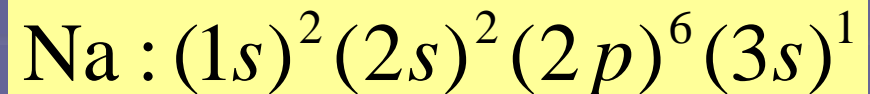
Nucleus with a charge of $+Ze$ and Z -number of Electrons

Electrons are Fermi Particles =>

Energy Levels denoted by (n, l, m, σ) are restricted to one electron each.



Na: $Z=11$



Electron Energy Levels of Atoms

Electrons are Fermi Particles => Energy Levels denoted by (n, l, m, σ) are restricted to one electron each.

Shell Structure denoted by n values

$$n=1 \quad 2 \times 1 \quad = 2$$

$$n=2 \quad 2 \times (1+3) \quad = 8$$

$$n=3 \quad 2 \times (1+3+5) \quad = 18$$

When 1 shell is filled with the appropriate number of electrons 2, 10, 18, 36 ... it is energetically stable

Noble (Inert) Gas Atoms :
He, Ne, Ar, Kr, Xe

The Periodic Law

What's important to Matter is the electrons in the outer-most shell (easily separated from the atom)

=> **Valence Electron**

The electron configuration of the outer-most shell shows that similar atoms show similar chemical properties => **Periodic Law**

Rather than remembering the Periodic Table, it's more important to understand why the Periodic Law holds true (Of course, it's better to remember but...)

If you're going to remember the Periodic Table, don't learn it by rote, rather learn it by **row**

The Electrical Energy Level of Atoms

While the larger the Z of the atom, the stronger the

Coulomb attraction of the nucleus, the number of electrons also increase, so there's a degree of balancing out and eventually the energy level of the electrons in the outer-most shell become a number of degrees of eV.

The energy scale of Solid-State Physics is a number of eV to meV

Temperature $T \leftrightarrow$ Thermal Energy $k_B T$

Boltzmann Constant

$$k_B = 1.38 \times 10^{-23} \text{ J/K}$$

Normal

Temperature

$$T = 300 \text{ K} \leftrightarrow k_B T = 25 \text{ meV}$$

The energy scale of chemistry and living things is around this

Familiar Examples

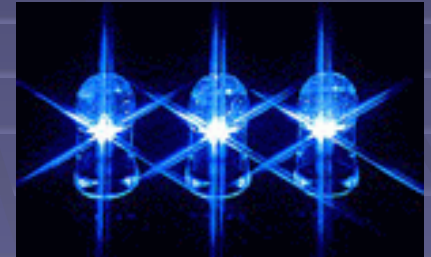
A battery is 1.5V

Electromotive force based on the exchange of electrons



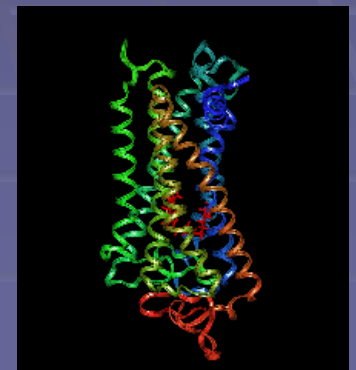
Laser Pointer

Red light $\sim 1.5\text{eV}$, Green light $\sim 2.5\text{eV}$



Why is visible light in the order of $\sim \text{eV}$ to begin with?

Rhodopsin : the light-receiving protein of the retina is in keeping with the energy range of visible light



Today's Summary

- Scale
 - Atoms (Micro) and Matter (Macro)
- Modern Civilization and Physics
- Solid-State Physics within Physics
 - Seeking Universality and Unity within Variety
- Hierarchy of the Natural World
 - Emergence and Phase Transition
- Quantum Mechanics and Atomic Structure
 - The reason the Periodic Law holds true
 - ↔ The structure of atoms
 - The energy scale of Solid-State Physics (as well as Chemistry and Biology) is $\sim eV$